

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Adimab, LLCApplication No./Patent No.: See Attached ScheduleFiled/Issue Date: See Attached ScheduleTitled: See Attached Schedule

Adimab, LLC

, a Limited Liability Company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above, by virtue of either:
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: See Attached Schedule

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Date

ERIC ANDERSONCOO

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**Schedule B**

<b>Application No. (Patent No.)</b>	<b>Filing Date (Issue Date)</b>	<b>Attorney Docket No:</b>	<b>Reel/Frame No.</b>	<b>Date of Recordation</b>
09/602373 (6,410,271)	June 23, 2000 (June 25, 2002)	2009186-0026	011175/0835 019541/0170	September 25, 2000 July 11, 2007
09/602972	June 23, 2000	2009186-0027	011152/0941 019541/0170	October 10, 2000 July 11, 2007
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09/603658 (6,410,246)	June 23, 2000 (June 25, 2002)	2009186-0030	011175/0837 019541/0170	September 25, 2000 July 11, 2007
09/603663 (6,406,863)	June 23, 2000 (June 18, 2002)	2009186-0031	011175/0908 019541/0170	September 25, 2000 July 11, 2007
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09/703399 (6,610,472)	October 31, 2000 (August 26, 2003)	2009186-0034	011588/0254 019541/0170	March 9, 2001 July 11, 2007

10/423495	April 24, 2003	2009186-0035	014368/0699 019541/0170	014368/0699 019541/0170	August 5, 2003 July 11, 2007
10/947610	September 21, 2004	2009186-0036	015582/0546 016262/0821 016875/0217 019541/0170	015582/0546 016262/0821 016875/0217 019541/0170	January 11, 2005 February 7, 2005 October 11, 2005 July 11, 2007
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10/071866	February 8, 2002	2009186-0042	012961/0772 019541/0170	012961/0772 019541/0170	May 31, 2002 July 11, 2007
10/133978	April 25, 2002	2009186-0044	Please see attached copy.	Please see attached copy.	Please see attached copy.
10/988485	November 12, 2004	2009186-0045	015650/0828 016259/0799 016873/0971 017144/0209 019541/0170	015650/0828 016259/0799 016873/0971 017144/0209 019541/0170	February 2, 2005 February 14, 2005 October 11, 2005 December 28, 2005 July 11, 2007
11/593957 (7,700,302)	November 6, 2006 (April 20, 2010)	2009186-0047	018538/0032 019541/0170	018538/0032 019541/0170	November 6, 2006 July 11, 2007

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12/404059	March 13, 2009	2009186-0015	024567/0143	June 21, 2010

**ASSIGNMENT OF PATENTS  
AND PATENT APPLICATIONS**

WHEREAS, Genetastix, Inc., a Delaware corporation with a place of business at 2450 El Camino Real, Ste 200 Palo Alto, California 94306 (referred to hereafter as the "Assignor"), is the owner of the entire right, title and interest in and to the Patents and Patent Applications described in Schedule I attached hereto and made a part hereof (hereinafter the "Assigned Patents"); and

WHEREAS, Adimab, Inc., a Delaware corporation with a place of business at 16 Cavendish Court, Lebanon, New Hampshire 03766 (hereinafter the "Assignee"), is desirous of acquiring the entire right, title and interest in and to the Assigned Patents and in and to any and all Letters Patent of the United States and foreign countries that may be obtained therefrom;

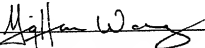
NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the Assignor has sold assigned and transferred, and by these presents do hereby sell, assign and transfer, unto the Assignee the Assignor's entire right, title and interest in and to the Assigned Patents and all reissues and extensions thereof, including all claims, if any, which may have arisen for infringement of the Assigned Patents prior to the date of this assignment, all said rights to be held and enjoyed by the Assignee for its own use and for the use of its successors, assigns or other legal representatives, to the full end of the term for which the Assigned Patents have been or will be granted, extended or reissued, as fully and entirely as the same would have been held and enjoyed by the Assignor if this assignment and sale had not been made.

The Assignor further agrees that the Assignor will, without demanding any further consideration therefore, at the request but at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgement of instruments, that may be or become necessary for obtaining, sustaining, or reissuing the Assigned Patents, and for maintaining and perfecting the Assignee's right to the Assigned Patents.

*[Remainder of Page Intentionally Left Blank]*

IN WITNESS WHEREOF, Genetastix, Inc. has caused this Assignment of United States Patents and Patent Applications to be signed effective as of July 6<sup>th</sup>, 2007.

GENETASTIX, INC.

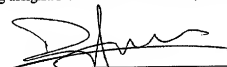
By: 

Name: Y. Hon Wong

Title: Director, duly authorized to act on  
behalf of Assignor

State of California  
County of Santa Clara

On this 6<sup>th</sup> day of July, 2007, before me personally appeared Y. Hon Wong, known to me to be the person who executed the foregoing assignment. In witness whereof, I hereunto set my hand and the seal of my office.

  
Notary Public

